

Perturbation theory of commuting self-adjoint operators and related topics. Part II

Hongyin Zhao (joint work with Alexei Ber, Fedor Sukochev, Dmitriy Zanin)

School of Mathematics and Statistics of UNSW

March, 2023

Preliminaries

- \mathcal{H} : separable Hilbert space, $\dim(\mathcal{H}) = \infty$.
- \mathcal{A} : a norm-closed $*$ -algebra of $B(\mathcal{H})$, C^* -algebra.
- commutant of \mathcal{A} , $\mathcal{A}' := \{B \in B(\mathcal{H}) : AB = BA, \forall A \in \mathcal{A}\}$.
- $\mathcal{M} \subset B(\mathcal{H})$: a $*$ -algebra of $B(\mathcal{H})$ s.t. $\mathcal{M}'' = \mathcal{M}$, von Neumann algebra.
A von Neumann algebra is a C^* -algebra.
- $\mathcal{U}(\mathcal{M}) := \{\text{unitary operators in } \mathcal{M}\}$.
- $\mathcal{N} \subset B(\mathcal{H})$: a factor, i.e. a von Neumann algebra with trivial center, i.e. $\mathcal{Z}(\mathcal{N}) := \mathcal{N} \cap \mathcal{N}' = \mathbb{C}\mathbf{1}$.

- $\tau : \mathcal{M}_+ \rightarrow [0, \infty]$: ia called a trace on \mathcal{M} if
 - ① $\tau(\lambda A + B) = \lambda\tau(A) + \tau(B)$, $\lambda \in \mathbb{R}_+, A, B \in \mathcal{M}_+$.
 - ② $\tau(U^*AU) = \tau(A)$, $A \in \mathcal{M}_+$ and $U \in \mathcal{U}(\mathcal{M})$.
 τ is called:
 - ③ **faithful** if $\tau(A) = 0 \Rightarrow A = 0$.
 - ④ **normal** if $\tau(\sup_{k \geq 1} A_k) = \sup_{k \geq 1} \tau(A_k)$ for every bounded increasing sequence $\{A_k\}_{k \geq 1} \subset \mathcal{M}_+$.
 - ⑤ **semifinite** if $\forall A \in \mathcal{M}_+, \exists 0 \neq B \in \mathcal{M}_+$ s.t. $B \leq A$ and $\tau(B) < \infty$.
- A von Neumann algebra \mathcal{M} equipped with a normal semifinite faithful (n.s.f.) trace τ will be called a **semifinite von Neumann algebra**. We will only consider semifinite von Neumann algebras.

Example

When $\mathcal{M} = B(\mathcal{H})$,

the matrix trace

$\tau(A) = \text{Tr}(A) = \sum_{k \geq 1} \langle Ae_k, e_k \rangle$, $A \geq 0$, is a trace,

here $\{e_k\}_{k \geq 1}$ is any C.O.N.S of \mathcal{H} .

- For $x \in \mathcal{M}$:
 - $l(x)$ is the projection onto $\overline{x(\mathcal{H})}$, **left support of x**
 - $r(x)$ is the projection onto $(\ker x)^\perp$, **right support of x**
 - $s(x) = l(x) \vee r(x)$, **support of x**
 - $x \in \mathcal{M}_{sa} \Rightarrow s(x) = l(x) = r(x)$
- $\mathcal{P}(\mathcal{M}) := \{\text{projections in } \mathcal{M}\}$.
- $\mathcal{F}(\mathcal{M}) := \{T \in \mathcal{M} : \tau(l(T)) < \infty\}$, **operators with τ -finite support**
- $\mathcal{K}(\mathcal{M}) := \overline{\mathcal{F}(\mathcal{M})}^{\|\cdot\|}$, **τ -compact operators**

Non-commutative symmetric function spaces

- $S(\mathcal{M}, \tau)$: the set of τ -measurable operators.
- For $a \in S(\mathcal{M}, \tau)_{sa}$, let e^a be the spectral measure corresponding to a . For any Borel function $f : \mathbb{R} \rightarrow \mathbb{C}$, the normal operator $f(a)$ is defined by the spectral integral

$$f(a) = \int_{\mathbb{R}} f(\lambda) de^a(\lambda) = \int_{\sigma(a)} f(\lambda) de^a(\lambda).$$

- For $x \in S(\mathcal{M}, \tau)$,
 $d_x(s) := \tau(e^{|x|}(s, \infty))$, $s \geq 0$, distribution function of x
 $\mu_x(t) := \inf\{s \geq 0 : d_x(s) \leq t\}$, $t \geq 0$, singular value function of x
 μ_x is decreasing, right-continuous, $\mu_x(0) = \|x\|_{\mathcal{M}}$ if $x \in \mathcal{M}$.

- A **symmetric function space** E is a Banach function space on the semiaxis $(0, \infty)$ with Lebesgue measure satisfying:
If $y \in E$ and $x^*(t) \leq y^*(t)$ for all $t \in (0, \infty)$, then $x \in E$ and $\|x\|_E \leq \|y\|_E$.
- Let E be a symmetric function space on $(0, \infty)$. Define $E(\mathcal{M}) := \{a \in S(\mathcal{M}, \tau) : \mu_a \in E\}$,
with norm $\|a\|_{E(\mathcal{M})} := \|\mu_a\|_E, a \in E(\mathcal{M})$.
We have $L_1 \cap L_\infty \subset E$, so $\mathcal{F}(\mathcal{M}) \subset E(\mathcal{M})$.
 $E^{(0)}(\mathcal{M}) := \overline{\mathcal{F}(\mathcal{M})}^{\|\cdot\|_{E(\mathcal{M})}}$.
If E is separable, then $E^{(0)}(\mathcal{M}) = E(\mathcal{M})$.

Example

Let $1 \leq p < \infty$ and $E = L_p$ be the Lebesgue L_p space on $(0, \infty)$,
 $L_p(\mathcal{M}) := \{a \in S(\mathcal{M}, \tau) : \mu_a \in L_p\}$,
 with norm $\|a\|_{L_p(\mathcal{M})} := \|\mu_a\|_{L_p}, a \in L_p(\mathcal{M})$.
 When $p = \infty$, $L_\infty(\mathcal{M}) = \mathcal{M}$.

Diagonality modulo non-commutative symmetric function spaces

- $D \in \mathcal{M}$ is **diagonal**

$$\stackrel{\text{def}}{\Leftrightarrow} \exists \{e_n\}_{n \geq 1} \text{ C.O.N.S of } \mathcal{H} \text{ s.t. } Te_n = \lambda_n e_n$$

$$\Leftrightarrow \exists \{p_n\}_{n \geq 1} \subset \mathcal{P}(\mathcal{M}), \sum_{n \geq 1} p_n = \mathbf{1}, \text{ s.t. } D = \sum_{n \geq 1} \lambda_n p_n.$$

Let \mathcal{M} von Neumann algebra equipped with an n.s.f. trace τ .

$\alpha = (A_1, \dots, A_n) \in \mathcal{M}_{sa}^n$ be a commuting self-adjoint n -tuple.

Let E_1, \dots, E_n be symmetric function spaces on $(0, \infty)$, set

$$\Phi(\mathcal{M}) := E_1(\mathcal{M}) \times \dots \times E_n(\mathcal{M}).$$

Definition

If \exists commuting diagonal n -tuple $\delta = (D_1, \dots, D_n) \subset \mathcal{M}$ s.t.

$A_i - D_i \in E_i(\mathcal{M})$, we say that α is **diagonal modulo $\Phi(\mathcal{M})$** .

If $E_1 = \dots = E_n = E$, we say α is **diagonal modulo $E(\mathcal{M})$** .

The case when \mathcal{M} is abelian (trivial)

Example

Suppose \mathcal{M} is abelian and E_1, \dots, E_n are given symmetric function spaces.

\forall commuting n -tuple $\alpha \in (\mathcal{M}_{sa})^n$ and $\forall \varepsilon > 0$,
 \exists commuting diagonal n -tuple $\delta \in (\mathcal{M}_{sa})^n$ s.t.

$$\max\{\|\alpha - \delta\|_{\mathcal{N}}, \|\alpha - \delta\|_{\Phi(\mathcal{M})}\} \leq \varepsilon,$$

where $\Phi(\mathcal{M}) = E_1(\mathcal{M}) \times \dots \times E_n(\mathcal{M})$.

Proof.

$\exists \{p_k\}_{k \geq 1} \subset \mathcal{P}(\mathcal{M})$, $\sum_{k \geq 1} p_k = \mathbf{1}$, $\tau(p_k) < \infty$.

$\exists \delta_k \subset p_k \mathcal{M} p_k$ s.t. $\|\alpha p_k - \delta_k\|_{\mathcal{M} \cap \Phi(\mathcal{M})} \leq \frac{\varepsilon}{2^k}$. Set $\delta = \sum_{k \geq 1} \delta_k$. □

Perturbation of self-adjoint operators in a factor

- A semifinite factor is called **properly infinite** if $\tau(\mathbf{1}) = \infty$.

Let $\mathcal{N} \subset B(\mathcal{H})$ be a properly infinite factor.

Theorem 1.1 (Zsido '75, Akemann-Pedersen '77, Kaftal '78)

$\forall A \in \mathcal{N}_{sa}, \forall \varepsilon > 0$, then \exists diagonal $D \in \mathcal{N}_{sa}$ s.t. $A - D \in L_2(\mathcal{N}) \cap \mathcal{N}$ and $\|A - D\|_{L_2(\mathcal{N})} < \varepsilon$.

Theorem (Li-Shen-Shi, 2020)

Let $n \geq 2$. \forall commuting self-adjoint $\alpha \in (\mathcal{N}_{sa})^n$, $\forall \varepsilon > 0$, \exists commuting diagonal n -tuple $\delta \in (\mathcal{N}_{sa})^n$ s.t. $\alpha - \delta \in L_n(\mathcal{N}) \cap \mathcal{N}$ and $\max\{\|\alpha - \delta\|_{\mathcal{N}}, \|\alpha - \delta\|_{L_n(\mathcal{N})}\} < \varepsilon$.

Quasicentral modulus

Let \mathcal{M} be a semifinite von Neumann algebra,
 E_1, \dots, E_n be symmetric function spaces on $(0, \infty)$, set
 $\Phi(\mathcal{M}) := E_1(\mathcal{M}) \times E_2(\mathcal{M}) \times \dots \times E_n(\mathcal{M})$,
 $\alpha = (A_1, \dots, A_n) \in \mathcal{M}^n$, $\|\alpha\|_{\Phi(\mathcal{M})} := \max_{1 \leq i \leq n} \|A_i\|_{E_i(\mathcal{M})}$.

- $\mathcal{F}_1^+ := \{R \in \mathcal{M} : 0 \leq R \leq \mathbf{1}, \tau(s(R)) < \infty\}$.
- **Quasicentral modulus:**

$$k_{\Phi(\mathcal{M})}(\alpha) := \inf \left\{ \limsup_{k \rightarrow \infty} \|[R_k, \alpha]\|_{\Phi(\mathcal{M})} : R_k \in \mathcal{F}_1^+, R_k \uparrow \mathbf{1} \right\}.$$

If $E_1 = \dots = E_n = E$, $k_{\Phi(\mathcal{M})}(\alpha) = k_{E(\mathcal{M})}(\alpha)$.

Extension of Voiculescu's results to properly infinite factor

Let $\mathcal{N} \subset B(\mathcal{H})$ be a properly infinite factor.

Theorem 2.1 (Ber-Sukochev-Zanin-Zhao, 2022, under review)

Let E_1, \dots, E_n be symmetric function spaces on $(0, \infty)$ s.t.

$E_i \not\subseteq L_\infty, 1 \leq i \leq n,$

$\Phi(\mathcal{N}) := E_1^{(0)}(\mathcal{N}) \times \dots \times E_n^{(0)}(\mathcal{N}).$

\forall commuting self-adjoint n -tuple $\alpha \in (\mathcal{M}_{sa})^n$, T.F.A.E.

① $k_{\Phi(\mathcal{N})}(\alpha) = 0;$

② $\forall \varepsilon > 0, \exists$ diagonal commuting n -tuple $\delta \in (\mathcal{N}_{sa})^n$ s.t.
 $\alpha - \delta \in \Phi(\mathcal{N}) \cap \mathcal{N}$ and $\max\{\|\alpha - \delta\|_{\mathcal{N}}, \|\alpha - \delta\|_{\Phi(\mathcal{N})}\} < \varepsilon.$

Properties of Quasicontral modulus

In the remaining part we will assume $E_j \not\subseteq L_\infty, 1 \leq j \leq n$,
 $\Phi(\mathcal{N}) := E_1^{(0)}(\mathcal{N}) \times \cdots \times E_n^{(0)}(\mathcal{N})$.

Proposition

Let $p \in \mathcal{M}$ be a projection that commutes with α , then

- 1 $k_{\Phi(\mathcal{M})}(p\alpha) \leq k_{\Phi(\mathcal{M})}(\alpha)$.
- 2 $k_{\Phi(\mathcal{M})}(\alpha) \leq k_{\Phi((1-p)\mathcal{M}(1-p))}((1-p)\alpha) + k_{\Phi(p\mathcal{M}p)}(p\alpha)$. (subadditivity)
- 3 if $p\alpha = \alpha$, then

$$k_{\Phi(p\mathcal{M}p)}(\alpha) = k_{\Phi(\mathcal{M})}(\alpha).$$

Techniques of proof of Theorem 2.1

The hard part of the proof of Theorem 2.1 is $(1) \Rightarrow (2)$, i.e. the following theorem:

Theorem 2.2

Suppose $k_{\Phi(\mathcal{N})}(\alpha) = 0$. $\forall \varepsilon > 0$, \exists commuting diagonal n -tuple $\delta \in (\mathcal{N}_{sa})^n$ s.t. $\alpha - \delta \in \Phi(\mathcal{N}) \cap \mathcal{N}$ and $\max\{\|\alpha - \delta\|_{\mathcal{N}}, \|\alpha - \delta\|_{\Phi(\mathcal{N})}\} < \varepsilon$.

A general way to construct a commuting diagonal n -tuple, is to construct a monomorphism $\psi : C^*(\alpha) \rightarrow \mathcal{N}$ s.t.

$$\delta := (\psi(\alpha(1)), \dots, \psi(\alpha(n)))$$

is a commuting diagonal n -tuple.

The problem is then reduced to prove that α is approximately equivalent to $\psi(\alpha)$ modulo $\Phi(\mathcal{N})$. Precise definitions will be given.

Approximately equivalence of $*$ -homomorphisms

- Let $\alpha \in (\mathcal{N}_{sa})^n$ be a given commuting self-adjoint n -tuple, $C^*(\alpha) \subset \mathcal{N}$ be the C^* -subalgebra generated by α and $\mathbf{1}$. Let π, ψ be unital $*$ -homomorphism from $C^*(\alpha)$ into \mathcal{N} . We say that $\pi(\alpha)$ is approximately equivalent to $\psi(\alpha)$ modulo $\Phi(\mathcal{N})$, denoted by $\pi \sim_{\Phi(\mathcal{N})} \psi$, if $\exists (U_k)_{k \geq 1} \subset \mathcal{U}(\mathcal{N})$ s.t.
 - $\pi(A_j) - U_k \psi(A_j) U_k^* \in E_j(\mathcal{N}), \quad 1 \leq j \leq n, k \geq 1.$
 - $\lim_{k \rightarrow \infty} \|\pi(A_j) - U_k \psi(A_j) U_k^*\|_{E_j(\mathcal{N})} = 0, \quad 1 \leq j \leq n.$
- If U_k in the above definition is only an isometry (or partial isometry), we write

$$\pi \sim_{isometry, \Phi(\mathcal{N})} \psi \quad \text{or} \quad \pi \sim_{U_k, \Phi(\mathcal{N})} \psi.$$

Construction of diagonal representations

- Let $\Omega := \{\rho : \rho : C^*(\alpha) \rightarrow \mathbb{C} \text{ is a nonzero } *\text{-homomorphism}\}$.
 $C^*(\alpha) \cong C(\Omega)$. (Gelfand representation)
 Ω is weak- $*$ compact Hausdorff topological space.
 $C(\Omega)$ is separable, so by Riesz's theorem, Ω is metrizable.
 Ω metrizable and compact $\Rightarrow \Omega$ is separable, so
 $\exists \{\rho_k\}_{k \geq 1} \subset \Omega, \overline{\{\rho_k\}_{k \geq 1}} = \Omega$,
then the representation $\bigoplus_k \rho_k$ is faithful on $C^*(\alpha)$.
- \mathcal{N} is properly infinite $\Rightarrow \exists \{q_n\}_{n \geq 1} \subset \mathcal{P}(\mathcal{N})$ s.t. $\mathbf{1}_{\mathcal{N}} = \sum_{n \geq 1} q_n$ and $\tau(q_n) = \infty$.
Suppose $W^*(\alpha) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$. (Technical assumption)
Set

$$\psi(x) = \sum_{k \geq 1} \rho_k(x) q_k, \quad x \in C^*(\alpha).$$

Clearly, $\psi : C^*(\alpha) \rightarrow \mathcal{N}$ is a unital $*$ -monomorphism.

$W^*(\psi(\alpha)) \subset W^*(\{q_k\}_{k \geq 1})$ and $\tau(q_k) = \infty$ for any $k \geq 1 \Rightarrow$

$$W^*(\psi(\alpha)) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}.$$

An important step to prove Theorem 2.2 is the following theorem:

Theorem 2.3

Let $\psi : C^*(\alpha) \rightarrow \mathcal{N}$ be a unital $*$ -monomorphism, s.t.
 $W^*(\alpha) \cap \mathcal{K}(\mathcal{N}, \tau) = W^*(\psi(\alpha)) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$, and
 $k_{\Phi(\mathcal{N})}(\alpha) = k_{\Phi(\mathcal{N})}(\psi(\alpha)) = 0$. Then
 $\forall \varepsilon > 0, \exists u \in \mathcal{U}(\mathcal{N})$ s.t. $\alpha - u\psi(\alpha)u^{-1} \in \Phi(\mathcal{N})$ and

$$\|\alpha - u\psi(\alpha)u^{-1}\|_{\Phi(\mathcal{N})} < \varepsilon.$$

Step 1 for proving Theorem 2.3

The first step for proving Theorem 2.3 is to establish the existence of a smooth partition of the identity with good properties.

Theorem (Step 1)

Suppose $k_{\Phi(\mathcal{M})}(\alpha) = 0$. For every $\varepsilon > 0$, there is a sequence $\{e_m\}_{m \geq 1} \subset \mathcal{F}_1^+(\mathcal{M})$ s.t.

$$\sum_{m \geq 1} e_m^2 = \mathbf{1}_{\mathcal{M}}, \quad \sum_{m \geq 1} \|[\alpha, e_m] \|_{\Phi(\mathcal{M})} \leq \varepsilon,$$

where the first series converges in strong operator topology.

Step 2 for proving Theorem 2.3

Theorem (Step 2)

Let $\psi : C^*(\alpha) \rightarrow \mathcal{N}$ be a unital $*$ -monomorphism.

Suppose $C^*(\alpha) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$.

$\forall \varepsilon > 0, \exists$ an isometry $v \in \mathcal{N}$ s.t. $\|v\psi(\alpha) - \alpha v\|_{\mathcal{N}} < \varepsilon$.

It follows from the following extension of Voiculescu's theorems to properly infinite factors.

Theorem (Ciuperca et al, 2013)

Let \mathcal{A} be a nuclear C^* -subalgebra of \mathcal{N} .

Suppose that $\psi : \mathcal{A} \rightarrow \mathcal{N}$ is a unital $*$ -homomorphism s.t. $\psi|_{\mathcal{A} \cap \mathcal{K}(\mathcal{N})} = 0$.

\forall finite subset $\mathfrak{F} \subset \mathcal{A}$ and $\forall \varepsilon > 0, \exists$ a partial isometry v s.t.

$$\|\psi(a) - v^*av\|_{\mathcal{N}} < \varepsilon, \quad a \in \mathfrak{F}.$$

Step 3 for proving Theorem 2.3

- Let $\mathcal{N} \bar{\otimes} B(\ell_2)$ be the von Neumann algebra generated by the algebraic tensor product $\mathcal{N} \otimes B(\ell_2)$.
Let $\{E_{i,j}\}_{i,j \geq 1}$ be a matrix unit of $B(\ell_2)$ such that $\text{Tr}(E_{1,1}) = 1$.

Theorem (Step 3, Technical result)

Suppose $W^*(\alpha) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$.

\exists a sequence of isometries $\{v_j\}_{j \geq 0} \subset \mathcal{N} \bar{\otimes} B(\ell_2)$ s.t.

$$v_{j_1}^* v_{j_2} = \delta_{j_1, j_2} \mathbf{1}_{\mathcal{N}} \otimes \mathbf{1}_{B(\ell_2)}, \quad v_j v_j^* \leq \mathbf{1}_{\mathcal{N}} \otimes E_{1,1}, \quad j, j_1, j_2 \geq 0,$$

$$\|v_j(b \otimes \mathbf{1}_{B(\ell_2)}) - (b \otimes E_{1,1})v_j\|_{\mathcal{N}} \rightarrow 0, \quad b \in \alpha.$$

Step 4 and 5 for proving Theorem 2.3

Theorem (Step 4)

Suppose $W^*(\alpha) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$.

If $\psi : C^*(\alpha) \rightarrow \mathcal{N}$ is a unital $*$ -homomorphism s.t. $k_{\Phi(\mathcal{N})}(\psi(\alpha)) = 0$, then $\forall \varepsilon > 0, \exists$ an isometry $v \in \mathcal{N} \bar{\otimes} B(\ell_2)$ s.t.

$$\|v(\psi(\alpha) \otimes \mathbf{1}_{B(\ell_2)}) - (\alpha \otimes \mathbf{1}_{B(\ell_2)})v\|_{\Phi(\mathcal{N} \bar{\otimes} B(\ell_2))} \leq \varepsilon.$$

Theorem (Step 5)

Suppose $W^*(\alpha) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$ and $k_{\Phi(\mathcal{N})}(\alpha) = 0$.

$\forall \varepsilon > 0, \exists$ an isometry $v \in \mathcal{N} \bar{\otimes} B(\ell_2)$ s.t.

$$v(\alpha \otimes \mathbf{1}_{B(\ell_2)}) - (\alpha \otimes E_{1,1})v \in \Phi(\mathcal{N} \bar{\otimes} B(\ell_2)),$$

$$\|v(\alpha \otimes \mathbf{1}_{B(\ell_2)}) - (\alpha \otimes E_{1,1})v\|_{\Phi(\mathcal{N} \bar{\otimes} B(\ell_2))} \leq \varepsilon, \quad vv^* \leq \mathbf{1}_{\mathcal{N}} \otimes E_{1,1}.$$

Combine all the pieces

Proof of Theorem 2.3.

$$\psi^{\oplus\infty} \sim_{\text{isometry}, \Phi(\mathcal{N})} \text{id} \quad (\text{Step 4 and 5}),$$

$$\Rightarrow \text{id} \sim_{\text{isometry}, \Phi(\mathcal{N})} \text{id} \oplus \psi,$$

$$\text{i.e. } \alpha \sim_{\text{isometry}, \Phi(\mathcal{N})} \alpha \oplus \psi(\alpha).$$

Swap $\psi(\alpha)$ with α , repeat the above process for ψ^{-1} on $C^*(\psi(\alpha))$,

$$\psi(\alpha) \sim_{\text{isometry}, \Phi(\mathcal{N})} \psi(\alpha) \oplus \alpha.$$

Obviously $\psi(\alpha) \oplus \alpha$ is unitarily equivalent to $\alpha \oplus \psi(\alpha)$, thus

$$\alpha \oplus 0 \sim_{w, \Phi(\mathcal{N})} \psi(\alpha) \oplus 0,$$

for some partial isometry w satisfying $w^*w = \mathbf{1}_{\mathcal{N}} \oplus 0$, $ww^* = \mathbf{1}_{\mathcal{N}} \oplus 0$.

Thus $w = u \oplus 0$ for some $u \in \mathcal{U}(\mathcal{N})$, i.e. $\alpha \sim_{\Phi(\mathcal{N})} \psi(\alpha)$. □

Corollary 2.4

Suppose $k_{\Phi(\mathcal{N})}(\alpha) = 0$ and $W^*(\alpha) \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$. $\forall \varepsilon > 0, \exists$ a diagonal n -tuple $\delta \subset \mathcal{N}$ s.t.

- i $\alpha - \delta \in \Phi(\mathcal{N}) \cap \mathcal{N}$;
- ii $\|\alpha - \delta\|_{\Phi(\mathcal{N})} < \varepsilon$.

Proof.

Let ψ be the diagonal representation constructed above, so $\psi(\alpha)$ is diagonal in \mathcal{N} , this implies $k_{\Phi(\mathcal{N})}(\psi(\alpha)) = 0$. By Theorem 2.3, $\text{id} \sim_{\Phi(\mathcal{N})} \psi$. □

Proof of Theorem 2.2

Proof of Theorem 2.2.

Let $\mathcal{W} = W^*(\alpha)$ be the von Neumann subalgebra in \mathcal{N} generated by α and $\mathbf{1}$, \mathcal{W} is abelian.

Set

$$p_{\mathcal{W}} = \bigvee \{s(x) : x \in \mathcal{W} \cap \mathcal{K}(\mathcal{N}, \tau)\}.$$

$p_{\mathcal{W}}\mathcal{W}p_{\mathcal{W}}$ is semifinite and $p_{\mathcal{W}}$ commutes with α .

Note that

$$k_{\Phi(p_{\mathcal{W}}\mathcal{N}p_{\mathcal{W}})}(p_{\mathcal{W}}\alpha) = k_{\Phi((\mathbf{1}_{\mathcal{N}} - p_{\mathcal{W}})\mathcal{N}(\mathbf{1}_{\mathcal{N}} - p_{\mathcal{W}}))}((\mathbf{1} - p_{\mathcal{W}})\alpha) = 0,$$

it suffices to consider the case $p_{\mathcal{W}} = \mathbf{1}$ and $p_{\mathcal{W}} = 0$ respectively.

Case 1. $p_{\mathcal{W}} = \mathbf{1}$, this is just the commutative semifinite case.

Case 2. $p_{\mathcal{W}} = 0$, then $x = xp_{\mathcal{W}} = 0$ for any $x \in \mathcal{W} \cap \mathcal{K}(\mathcal{N}, \tau)$, so $\mathcal{W} \cap \mathcal{K}(\mathcal{N}, \tau) = \{0\}$. Thus Corollary 2.4 can be applied. □

Noncommutative Lorentz $(p, 1)$ -ideals

- $L_{p,1} := \{f \in L_1 + L_\infty : \int_0^\infty f^*(t)t^{\frac{1}{p}-1} dt < \infty\}$, $1 \leq p \leq \infty$.
Here f^* denotes the decreasing rearrangement of $f \in L_1 + L_\infty$.
- $L_{p,1}(\mathcal{M}) := \left\{ a \in (L_1 + L_\infty)(\mathcal{M}) : \int_0^\infty \mu_a(t)t^{\frac{1}{p}-1} dt < \infty \right\}$,
with norm $\|a\|_{L_{p,1}(\mathcal{M})} := \frac{1}{p} \int_0^\infty \mu_a(t)t^{\frac{1}{p}-1} dt$ for any $a \in L_{p,1}(\mathcal{M})$.

Recall that:

Theorem (Voiculescu, 1979 & 2018)

Let $\mathcal{M} = B(\mathcal{H})$. Let $\Phi = \mathcal{C}_{p_1,1} \times \cdots \times \mathcal{C}_{p_n,1}$, where
 $\sum_{i=1}^n \frac{1}{p_i} = 1, 1 \leq p_i < \infty, 1 \leq i \leq n$.
 $k_\Phi(\alpha) = 0 \Leftrightarrow$ the spectral measure of α is singular.

Spectral measure of α is singular \Leftrightarrow
 α is diagonal modulo $\mathcal{C}_{p_1,1} \times \cdots \times \mathcal{C}_{p_n,1}$.

Singularity implies vanishing of quasicentral modulus

Theorem 3.1 (Ber-Sukochev-Zanin-Zhao, 2022, under review)

Let $n \geq 1$. Let $\Phi(\mathcal{M}) = L_{p_1,1}(\mathcal{M}) \times \cdots \times L_{p_n,1}(\mathcal{M})$,
where $1 \leq p_i \leq \infty$, $1 \leq i \leq n$ and $\frac{1}{p_1} + \cdots + \frac{1}{p_n} \leq 1$.
Let $\alpha \in (\mathcal{M}_{sa})^n$ be a commuting self-adjoint n -tuple.
The spectral measure of α is singular $\Rightarrow k_{\Phi(\mathcal{M})}(\alpha) = 0$.

Corollary

The spectral measure of α is singular $\Rightarrow k_{L_{n,1}(\mathcal{M})}(\alpha) = 0$.

The converse is not true, i.e.

$k_{L_{n,1}(\mathcal{M})}(\alpha) = 0 \not\Rightarrow$ the spectral measure of α is singular.

Proposition

Let p_1, \dots, p_L be orthogonal projections in \mathcal{M} s.t. $p_1 + \dots + p_L = \mathbf{1}$ and $p_l \alpha_l = \alpha_l p_l = \alpha_l$ for any $1 \leq l \leq L$. Let $\theta_l \in \mathbb{R}^n$, $1 \leq l \leq L$. We have $k_{\Phi(\mathcal{M})}(\sum_{l=1}^L \alpha_l) = k_{\Phi(\mathcal{M})}(\sum_{l=1}^L \alpha_l - \theta_l p_l)$.

Let $\alpha \in (\mathcal{M}_{sa})^n$ be a commuting self-adjoint n -tuple with singular spectral measure.

Proposition (Technical result)

Let $p, q \in \mathcal{P}(\mathcal{M})$ s.t. $\alpha p = \alpha, p \leq q$. Suppose there exists a τ -finite projection e in \mathcal{M} s.t. $[W^*(\alpha)e(\mathcal{H})] = q(\mathcal{H})$. We have

$$k_{\Phi(\mathcal{M})}(\alpha) \leq c_{\Phi} \max_{1 \leq j \leq n} \tau(e)^{\frac{1}{p_j}} \cdot \|\alpha\|_{\mathcal{M}},$$

where c_{Φ} is a constant depends only on p_1, \dots, p_n .

Theorem (Strong continuity)

Let $\{p_j\}_{j \geq 1}$ be a sequence of projections in \mathcal{M} s.t.
 $p_j \alpha = \alpha p_j$ and $p_j \rightarrow \mathbf{1}$ in strong operator topology.
Then $k_{\Phi(\mathcal{M})}(\alpha) = \lim_{j \rightarrow \infty} k_{\Phi(\mathcal{M})}(\alpha p_j)$.

Proposition

Suppose \exists a τ -finite projection $e \in \mathcal{M}$ s.t. $\overline{\text{span}\{W^*(\alpha)e(\mathcal{H})\}} = \mathcal{H}$. Then $k_{\Phi(\mathcal{M})}(\alpha) = 0$.

- $\mathcal{B}(\mathbb{R}^n) := \{\text{Borel sets in } \mathbb{R}^n\}$.
 $e^\alpha : \mathcal{B}(\mathbb{R}^n) \rightarrow \mathcal{P}(\mathcal{M})$ be the spectral measure of α .
Set $\mu_\xi(B) := \langle e^\alpha(B)\xi, \xi \rangle$, $B \in \mathcal{B}(\mathbb{R}^n)$.
Separability of $\mathcal{H} \Rightarrow \exists$ a vector $\xi \in \mathcal{H}$ such that
 $\mu_\eta \prec \mu_\xi$, $\forall \eta \in \mathcal{H}$.

Proof.

W.L.O.G, assume that $0 \leq \alpha \leq 1$.

Let $\xi \in \mathcal{H}$ s.t. $\mu_\eta \prec \mu_\xi, \forall \eta \in \mathcal{H}$.

μ_ξ is singular $\Rightarrow \exists B \in \sigma(\alpha)$, s.t. $\lambda(B) = \mu_\xi(\mathbb{R}^n \setminus B) = 0$.

For every $j \in \mathbb{N}$, \exists disjoint cubes $\{A_{k,j} : 1 \leq k \leq n_j\}$ in \mathbb{R}^n with same side length s.t.

$$\mu_\xi([-2, 2]^n \setminus \cup_{k=1}^{n_j} A_{k,j}) \rightarrow 0 \quad \text{as } j \rightarrow \infty \text{ and}$$

$$\lambda(\cup_{k=1}^{n_j} A_{k,j}) \rightarrow 0 \quad \text{as } j \rightarrow \infty.$$

Then $\mu_\eta([-2, 2]^n \setminus \cup_{k=1}^{n_j} A_{k,j}) \rightarrow 0$ as $j \rightarrow \infty, \forall \eta \in \mathcal{H}$.

i.e. $e^\alpha(\cup_{k=1}^{n_j} A_{k,j}) \xrightarrow{s.o.t.} \mathbf{1}$.

$$\alpha_j := \alpha e^\alpha(\cup_{k=1}^{n_j} A_{k,j}) = \sum_{k=1}^{n_j} \alpha e^\alpha(A_{k,j})$$

choose proper $c_{k,j}$, $A'_{k,j} := A_{k,j} - c_{k,j}$ so that $\{A'_{k,j}\}_{j=1}^{n_j}$ are disjoint and

$$\text{diam}(\cup_{k=1}^{n_j} A'_{k,j}) \rightarrow 0 \text{ as } j \rightarrow \infty.$$

$$\alpha'_j := \sum_{k=1}^{n_j} (\alpha - c_{k,j} \mathbf{1}) e^\alpha(A_{k,j}).$$

$$k_{\Phi(\mathcal{M})}(\alpha_j) = k_{\Phi(\mathcal{M})}(\alpha'_j) \leq c_\Phi \max_{1 \leq i \leq n} (\tau(e))^{\frac{1}{p_i}} \text{diam}(\cup_{k=1}^{n_j} A'_{k,j}) \rightarrow 0.$$

Strong continuity $\Rightarrow k_{\Phi(\mathcal{M})}(\alpha) = \lim_{j \rightarrow \infty} k_{\Phi(\mathcal{M})}(\alpha_j) = 0$. □

Proof of Theorem 3.1.

Let $W^*(\alpha)$ be the von Neumann subalgebra in \mathcal{M} generated by $\mathbf{1}$ and α . By Zorn's Lemma, $\exists \{e_k\}_{k \geq 1}$ of τ -finite projections s.t. $\sum_{k \geq 1} q_k = \mathbf{1}$ where

$$q_k = \bigvee_{a \in W^*(\alpha)} (l(ae_k)) = \bigvee_{B \in \mathcal{B}(\mathbb{R}^n)} l(\chi_B(\alpha)e_k).$$

e_k is a τ -finite cyclic projection of αq_k on $q_k(\mathcal{H}) \Rightarrow k_{\Phi(q_k \mathcal{M} q_k)}(\alpha q_k) = 0$.

Subadditivity of $k_{\Phi(\mathcal{M})} \Rightarrow k_{\Phi((\sum_{j=1}^k q_j) \mathcal{M} (\sum_{j=1}^k q_j))}(\alpha \sum_{j=1}^k q_j) = 0$.

Strong continuity of $k_{\Phi(\mathcal{M})} \Rightarrow k_{\Phi(\mathcal{M})}(\alpha) = 0$. □

Ongoing project – Extension of Kato-Rosenblum theorem to von Neumann algebras

Let $\alpha \in (\mathcal{M}_{sa})^n$ be a commuting self-adjoint n -tuple.

- A projection $P \in \mathcal{M}$ is called **norm absolutely continuous w.r.t. α** if $\forall \varepsilon > 0, \exists \delta > 0$ s.t. $\sum_{j=1}^k \|PE_\alpha(Q_j)P\|_{\mathcal{M}} < \varepsilon$ whenever $\{Q_j\}_{j=1}^k \subset \mathfrak{B}(\mathbb{R}^n)$ are pairwise disjoint s.t. $\sum_{j=1}^k \lambda(Q_j) \leq \delta$.
- $\mathcal{P}_{ac}^\infty(\alpha) := \{P : P \text{ is norm absolutely continuous w.r.t. } \alpha\}$.
 $P_{ac}^\infty(\alpha) = \bigvee \{P : P \in \mathcal{P}_{ac}^\infty(\alpha)\}, P_{ac}^\infty(\alpha) \leq P_{ac}(\alpha)$.
- In some cases, $P_{ac}^\infty(\alpha)$ is totally different to $P_{ac}(\alpha)$, there is an example that $P_{ac}(T) = \mathbf{1}, P_{ac}^\infty(T) = 0$.

The following theorem extends [Li-Shen-Shi-Wang, 2018] to the case when $n \geq 1$.

Theorem (Ber-Sukochev-Zanin-Zhao, ongoing)

$\alpha, \beta \in (B(\mathcal{H})_{sa})^n, \beta - \alpha \in (L_1(\mathcal{M}))^n \Rightarrow \forall t \in \mathbb{S}^{n-1}, \exists$ a limit
 $W_t = \text{s.o.t.} \text{-} \lim_{r \rightarrow \infty} e^{irt\beta} e^{-irt\alpha} P_{ac}^\infty(\alpha).$












For almost every $t \in \mathbb{S}^{n-1}$,



- 1 $W_t^* W_t = P_{ac}^\infty(\alpha), W_t W_t^* = P_{ac}^\infty(\beta).$
- 2 $e^\beta(\Delta) W_t = W_t e^\alpha(\Delta), \Delta \in \mathfrak{B}(\mathbb{R}^n).$

How far we've got?

- Can we define quasicentral modulus $k_{\Phi(\mathcal{M})}(\alpha)$ for $\alpha \in \mathcal{M}^n$? **Yes**
- Is it true that $k_{\Phi(\mathcal{M})}(\alpha) = 0 \Leftrightarrow \alpha$ is diagonal modulo Φ ? **Yes**
- What can we say about α_s and α_{ac} ?
 $k_{\Phi(\mathcal{M})}(\alpha_s) = 0$ for $\Phi(\mathcal{M}) = L_{p_1,1}(\mathcal{M}) \times \cdots \times L_{p_n,1}(\mathcal{M})$, $\sum_j \frac{1}{p_j} \leq 1$.
 $P_{ac}^\infty(\alpha)$ is preserved (up to equivalence) under trace class perturbations.
- Spectral multiplicity function does not work well for α_{ac} in von Neumann algebras.
Let U be the corresponding unitary operator such that $U\alpha U^*$ is the tuple of multiplication operators of coordinate functions, the obstacle is that $U \notin \mathcal{M}$, so it is meaningless to calculate $k_{\Phi(\mathcal{M})}(U\alpha U^*)$.
What is the proper analogue of spectral multiplicity theory in von Neumann algebras?
We do not know yet.

References

-  Akemann, C.A. and Pedersen, G.K., *Ideal perturbations of elements in C^* -algebras*, Math. Scand., **41**, no.1 (1977), 117–139.
-  Carey, R.W. and Pincus, J.D., *Unitary equivalence modulo the trace class for self-adjoint operators*. Amer. J. Math., **98**, no.2 (1976), 481–514.
-  Ciuperca, A. and Giordano, T. and Ng, P. and Niu, Z., *Amenability and uniqueness*, Advances in Mathematics **240** (2013), 325–345.
-  Connes, A., *TRACE DE DIXMIER, MODULES DE FREDHOLM ET GEOMETRIE RIEMANNIENNE*, NuclearPhysics B **5B** (1988), 65–70.
-  Kaftal, V., *On the theory of compact operators in von Neumann algebras.II*, Pacific Journal of Mathematics **79**, no.1 (1978), 129–137.
-  Kato, Tosio, *Perturbation theory for linear operators*, Classics in Mathematics (1995), 525-527.
-  Kuroda, S., *On a theorem of Weyl-von Neumann*. Proc. Japan Acad. **34** (1958), 11–15.
-  Li, Q and Shen, J and Shi, R., *A generalization of Voiculescu's theorem for normal operators to semifinite von Neumann algebras*, Advances in Mathematics **375** (2020), 107347.
-  Li, Q. and Shen, J. and Shi, R. and Wang, L., *Perturbations of self-adjoint operators in semifinite von Neumann algebras: Kato-Rosenblum theorem*, J. Funct. Anal., **275**, no.2 (2018), 259–287.
-  Voiculescu, D. *A non-commutative Weyl-von Neumann theorem*, Rev. roum. math. pures et appl **21** (1976), 97–113.
-  Voiculescu, D., *Some results on norm-ideal perturbations of hilbert space operators*, Journal of Operator Theory (1979), 3–37.

-  Voiculescu, D., *Hybrid normed ideal perturbations of n -tuples of operators I*, Journal of Geometry and Physics **128** (2018), 169–184.
-  Zsidó, L., *The Weyl-von Neumann theorem in semifinite factors*. J. Functional Analysis **18** (1975), 60–72.

Thanks for your attention!