

Noncommutative ergodic theory of lattices in higher rank simple algebraic groups

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Functional Analysis Seminar
Institute for Advanced Study in Mathematics
Harbin Institute of Technology
April 20, 2022

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Introduction and motivation

Simple algebraic groups

Let k be any **local** field i.e. k is a nondiscrete locally compact field.

Definition

Let \mathbb{G} be any (affine) connected algebraic k -group. We say that

- 1 \mathbb{G} is **semisimple** if its radical (i.e. maximal connected algebraic solvable normal subgroup) is trivial.
- 2 \mathbb{G} is **absolutely almost simple** (resp. **almost k -simple**) if \mathbb{G} is semisimple and if the only proper normal algebraic (resp. k -closed) subgroups are finite.

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Example

For every $n \geq 2$, SL_n is an absolutely almost simple connected algebraic k -group. Moreover, we have $\text{rk}_k(SL_n) = n - 1$.

Higher rank lattices

Let \mathbb{G} be any almost k -simple connected algebraic k -group with $\text{rk}_k(\mathbb{G}) \geq 2$.

Denote by $G = \mathbb{G}(k)$ the locally compact group of its k -points.

Let $\Gamma < G$ be any **lattice** i.e. $\Gamma < G$ is a discrete subgroup with finite covolume.

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Examples (Borel–Harish-Chandra, Behr, Harder)

Let $n \geq 3$ and $\mathbb{G} = \text{SL}_n$.

- $\text{SL}_n(\mathbb{Z}) < \text{SL}_n(\mathbb{R})$
- $\text{SL}_n(\mathbb{Z}[i]) < \text{SL}_n(\mathbb{C})$
- $\text{SL}_n(\mathbb{F}_q[t^{-1}]) < \text{SL}_n(\mathbb{F}_q((t)))$ where $q = p^r$ with $p \in \mathcal{P}$ a prime and $r \geq 1$

In this talk, we simply say that $\Gamma < G$ is a **higher rank lattice**.

Motivation

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Margulis' Normal Subgroup Theorem (1978)

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Margulis' strategy: assuming that $N \triangleleft \Gamma$ is an infinite normal subgroup, to prove that Γ/N is a finite group, one shows that

- 1 Γ/N has **property (T)** (Kazhdan). Indeed, G has property (T) and property (T) is inherited by lattices and quotients.
- 2 Γ/N is **amenable** (Margulis). This follows from:

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Margulis' Factor Theorem (1978)

Let $\mathbb{P} < G$ be any minimal parabolic k -subgroup and set $P = \mathbb{P}(k)$. Then any measurable Γ -factor of G/P is Γ -isomorphic to G/Q for some unique parabolic k -subgroup $\mathbb{P} < Q < G$ where $Q = Q(k)$.

In this talk, we present a new framework to study **higher rank lattices** using operator algebras.

Main Problem

Given a **higher rank lattice** $\Gamma < G$, we want to understand:

- 1 Point stabilizers for ergodic/minimal actions $\Gamma \curvearrowright X$
- 2 Structure of group C^* -algebras $C_\pi^*(\Gamma)$ where $\pi : \Gamma \rightarrow \mathcal{U}(\mathcal{H}_\pi)$
- 3 Dynamical properties of the affine action $\Gamma \curvearrowright \mathcal{P}(\Gamma)$
- 4 Rigidity aspects of the group von Neumann algebra $L(\Gamma)$

The present talk is based on two joint works:

- [BH19] R. BOUTONNET, C. HOUDAYER, *Stationary characters on lattices of semisimple Lie groups*. *Publications mathématiques de l'IHÉS* **133** (2021), 1-46. [arXiv:1908.07812](#)
- [BBH21] U. BADER, R. BOUTONNET, C. HOUDAYER, *Charmenability of higher rank arithmetic groups*. [arXiv:2112.01337](#)

The noncommutative Nevo–Zimmer theorem

Structure theory of G/P

Let \mathbb{G} be any almost k -simple connected algebraic k -group with $\text{rk}_k(\mathbb{G}) \geq 2$ and set $G = \mathbb{G}(k)$. Let $\mathbb{P} < \mathbb{G}$ be any minimal parabolic k -subgroup and set $P = \mathbb{P}(k)$. Then $G/P = (\mathbb{G}/\mathbb{P})(k)$.

Example

If $\mathbb{G} = \text{SL}_n$, take $\mathbb{P} < \mathbb{G}$ the subgroup of upper triangular matrices.

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Theorem (Furstenberg 1962, Bader–Shalom 2004)

For every admissible measure $\mu \in \text{Prob}(G)$, there exists a unique μ -stationary measure $\nu \in \text{Prob}(G/P)$ such that $(G/P, \nu)$ is the (G, μ) -**Poisson boundary** i.e.

$$L^\infty(G/P, \nu) \underset{G\text{-equiv.}}{\cong} \text{Har}^\infty(G, \mu)$$

Recall that ν is μ -**stationary** if $\nu = \mu * \nu = \int_G g_* \nu \, d\mu(g)$.

Boundary structures on von Neumann algebras

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This notion is well adapted to **induction**. Indeed, to any Γ -boundary structure $\Theta : M \rightarrow L^\infty(G/P)$, one can naturally define the **induced** G -boundary structure $\hat{\Theta} : \text{Ind}_\Gamma^G(M) \rightarrow L^\infty(G/P)$.

Recall that $\Gamma \curvearrowright M$ is **ergodic** if

$$M^\Gamma := \{x \in M \mid \forall \gamma \in \Gamma, \sigma_\gamma(x) = x\} = \mathbb{C}1$$

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Since $\Gamma \curvearrowright G/P$ is amenable, there exists a measurable Γ -map $\beta : G/P \rightarrow \mathfrak{S}(A) : b \mapsto \beta_b$. By duality, we obtain a ucp Γ -map $E : A \rightarrow L^\infty(G/P)$ defined by $E(\cdot)(b) = \beta_b$ for a.e. $b \in G/P$.

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Consider the normal extension $E^{**} : A^{**} \rightarrow L^\infty(G/P)$ and denote by $z \in \mathcal{Z}(A^{**})$ its central support. Letting $M = A^{**}z$, $\Theta = E^{**}|_M : M \rightarrow L^\infty(G/P)$ is a Γ -boundary structure.

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Consider the normal extension $E^{**} : A^{**} \rightarrow L^\infty(G/P)$ and denote by $z \in \mathcal{L}(A^{**})$ its central support. Letting $M = A^{**}z$, $\Theta = E^{**}|_M : M \rightarrow L^\infty(G/P)$ is a Γ -boundary structure.

We apply the above construction to the following situations:

- 1 $A = C(X)$ where X is a compact metrizable Γ -space.
- 2 $A = C_\pi^*(\Gamma)$ where $\pi : \Gamma \rightarrow \mathcal{U}(\mathcal{H}_\pi)$ is a unitary representation and $\Gamma \curvearrowright C_\pi^*(\Gamma)$ is the conjugation action.

The noncommutative Nevo–Zimmer theorem

Theorem (BH19, BBH21)

Let $\Gamma < G$ be any **higher rank lattice**. Let M be any von Neumann algebra, $\Gamma \curvearrowright M$ any ergodic action and $\Theta : M \rightarrow L^\infty(G/P)$ any Γ -boundary structure.

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Then the following dichotomy holds:

- Either $\Theta(M) = \mathbb{C}1$.
- Or there are a proper parabolic k -subgroup $\mathbb{P} < \mathbb{Q} < G$ and a Γ -equivariant normal embedding $\iota : L^\infty(G/Q) \hookrightarrow M$ such that $\Theta \circ \iota : L^\infty(G/Q) \hookrightarrow L^\infty(G/P)$ is the canonical embedding.

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In case $M = L^\infty(X)$ and $k = \mathbb{R}$, and considering G -actions instead of Γ -actions, the above theorem was proven by Nevo–Zimmer (2000).

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\rightsquigarrow In [BBH21], we further generalized NZ theorem to deal with lattices in simple algebraic groups defined over an arbitrary local field k .

About the proof of the nc Nevo–Zimmer theorem

Using induction, we prove the theorem for ergodic G -von Neumann algebras \mathcal{M} and G -boundary structures $\Theta : \mathcal{M} \rightarrow L^\infty(G/P)$.

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Exploiting the **tension** between algebraic geometry and ergodic theory, we show that there exist a proper parabolic k -subgroup $\mathbb{P} < \mathbb{Q} < \mathbb{G}$ and a measurable G -factor map $Z \rightarrow G/Q$.

This yields a G -equivariant embedding $L^\infty(G/Q) \hookrightarrow \mathcal{L} \subset \mathcal{M}$.

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This part is a generalization of Nevo–Zimmer’s Gauss map trick.

Dichotomy for topological dynamics

We derive the following topological analogue of Stuck–Zimmer's stabilizer rigidity theorem (1992).

Theorem (BH19, BBH21)

Let $\Gamma < G$ be any **higher rank lattice**. Assume that $\mathcal{L}(\mathbb{G}) = \{e\}$.
Let $\Gamma \curvearrowright X$ be any minimal action on a compact metrizable space.
The following dichotomy holds:

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- Either X is finite.
- Or $\Gamma \curvearrowright X$ is **topologically free** i.e. for every $\gamma \in \Gamma \setminus \{e\}$, $\text{Fix}(\gamma) := \{x \in X \mid \gamma x = x\}$ has empty interior.

This solves a question raised by Glasner–Weiss (2014).

Dynamics of positive definite functions and character rigidity

Dynamics of $\Lambda \curvearrowright \mathcal{P}(\Lambda)$

For any countable discrete group Λ , set

$$\mathcal{P}(\Lambda) := \{\varphi : \Lambda \rightarrow \mathbb{C} \mid \text{normalized positive definite function}\}$$

Then $\mathcal{P}(\Lambda) \subset \ell^\infty(\Lambda)$ is a weak-* compact convex set.

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To any $\varphi \in \mathcal{P}(\Lambda)$, one associates the GNS triple $(\pi_\varphi, \mathcal{H}_\varphi, \xi_\varphi)$:

$$\forall \gamma \in \Lambda, \quad \varphi(\gamma) = \langle \pi_\varphi(\gamma)\xi_\varphi, \xi_\varphi \rangle$$

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Definition

A **character** $\varphi \in \mathcal{P}(\Lambda)$ is a fixed point for $\Lambda \curvearrowright \mathcal{P}(\Lambda)$.

Denote by $\text{Char}(\Lambda) \subset \mathcal{P}(\Lambda)$ the convex subset of all characters.

Examples of characters

Denote by $\text{Sub}(\Lambda)$ the compact metrizable space of all subgroups of Λ endowed with the conjugation action $\gamma \cdot H = \gamma H \gamma^{-1}$.

Consider the Λ -equivariant continuous map

$$\text{Sub}(\Lambda) \rightarrow \mathcal{P}(\Lambda) : H \mapsto 1_H$$

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Examples

① If $N \triangleleft \Lambda$ is a normal subgroup, then $\varphi = 1_N \in \text{Char}(\Lambda)$.

Its GNS unirep $\pi_\varphi = \lambda_{\Lambda/N}$ is the quasi-regular representation.

- When $N = \Lambda$, then 1_Λ is the **trivial character**.
- When $N = \{e\}$, then $1_{\{e\}}$ is the **regular character**.

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 - When $N = \Lambda$, then 1_Λ is the trivial character.
 - When $N = \{e\}$, then $1_{\{e\}}$ is the regular character.
- 2 If $\Lambda \curvearrowright (X, \nu)$ is pmp, then $\varphi_\nu : \gamma \mapsto \nu(\text{Fix}(\gamma)) \in \text{Char}(\Lambda)$.
 \rightsquigarrow When $\varphi_\nu = 1_{\{e\}}$, the action $\Lambda \curvearrowright (X, \nu)$ is essentially free.

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 \rightsquigarrow When $\varphi_\nu = 1_{\{e\}}$, the action $\Lambda \curvearrowright (X, \nu)$ is essentially free.
- 3 If $\pi : \Lambda \rightarrow \mathcal{U}(n)$ is a finite dim unirep, then $\text{tr}_n \circ \pi \in \text{Char}(\Lambda)$.

Our noncommutative Nevo–Zimmer theorem yields new applications regarding **existence** and **classification** of characters.

Theorem (BH19, BBH21)

Let $\Gamma < G$ be any **higher rank lattice**. Then

- 1 Any nonempty Γ -invariant weak-* compact convex subset $\mathcal{C} \subset \mathcal{P}(\Gamma)$ contains a character.
- 2 Γ is **character rigid** i.e. any extremal character $\varphi \in \text{Char}(\Gamma)$ is either supported on $\mathcal{L}(\Gamma)$ or π_φ is finite dimensional.

Our theorem strengthens results by Margulis (1978), Stuck–Zimmer (1992), Bekka (2006), Creutz–Peterson (2013), Peterson (2014).

Structure theorem for group C^* -algebras $C_\pi^*(\Gamma)$

When $\pi : \Gamma \rightarrow \mathcal{U}(\mathcal{H}_\pi)$ is a unirep, we may regard

$$\mathfrak{S}(C_\pi^*(\Gamma)) \hookrightarrow \mathcal{P}(\Gamma) : \psi \mapsto \psi \circ \pi$$

as a Γ -invariant weak-* compact convex subset. We obtain:

Theorem (BH19, BBH21)

Let $\Gamma < G$ be any **higher rank lattice**. Let $\pi : \Gamma \rightarrow \mathcal{U}(\mathcal{H}_\pi)$ be any unirep. Then $C_\pi^*(\Gamma)$ admits a trace.

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Assume that $\mathcal{L}(G) = \{e\}$. If π is weakly mixing, then $\lambda \prec \pi$ i.e. there is a $*$ -homomorphism $\Theta : C_\pi^*(\Gamma) \rightarrow C_\lambda^*(\Gamma)$ such that $\Theta(\pi(\gamma)) = \lambda(\gamma)$ for every $\gamma \in \Gamma$. Moreover

- 1 $\tau_\Gamma \circ \Theta$ is the unique trace on $C_\pi^*(\Gamma)$.
- 2 $\ker(\Theta)$ is the unique maximal proper ideal of $C_\pi^*(\Gamma)$.

This extends results by Bekka–Cowling–de la Harpe (1994) for $C_\lambda^*(\Gamma)$.

The noncommutative factor theorem and Connes' rigidity conjecture

Connes' rigidity conjecture for higher rank lattices

Connes (1979) showed that whenever Λ is an icc group with property (T), the symmetry groups of $L(\Lambda)$ are at most countable. He conjectured that $L(\Lambda)$ should retain Λ for property (T) groups.

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In view of Mostow–Margulis' rigidity results, we state the following version of **Connes' rigidity conjecture** for lattices in higher rank simple real Lie groups.

Connes' rigidity conjecture

For $i \in \{1, 2\}$, let G_i be any real connected simple Lie group with trivial center and $\text{rk}_{\mathbb{R}}(G_i) \geq 2$, and let $\Gamma_i < G_i$ be any lattice.

$$\begin{aligned} L(\Gamma_1) \cong L(\Gamma_2) &\Rightarrow G_1 \cong G_2 \\ &\Rightarrow \text{rk}_{\mathbb{R}}(G_1) = \text{rk}_{\mathbb{R}}(G_2) \end{aligned}$$

The noncommutative factor theorem

Let $\Gamma < G$ be any higher rank lattice. Assume that $\mathcal{L}(G) = \{e\}$.

Consider the ergodic action $\Gamma \curvearrowright G/P$ and its associated **group measure space** von Neumann algebra $L(\Gamma \curvearrowright G/P)$.

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For every von Neumann subalgebra $L(\Gamma) \subset M \subset L(\Gamma \curvearrowright G/P)$, there exists a unique parabolic k -subgroup $\mathbb{P} < \mathbb{Q} < G$ such that

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The inclusion $L(\Gamma) \subset L(\Gamma \curvearrowright G/P)$ retains the k -rank $\text{rk}_k(G)$.

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☺ It gives hope to prove that $L(\Gamma)$ retains the k -rank $\text{rk}_k(G)$.

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Set $\mathcal{B} = L(\Gamma \curvearrowright G/P)$. Since $\mathcal{L}(\mathbb{G}) = \{e\}$, one can show that $L(\Gamma)' \cap \mathcal{B} = \mathbb{C}1$. Thus, the conjugation action $\Gamma \curvearrowright \mathcal{B}$ is ergodic.

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Since $\Gamma \curvearrowright G/\mathbb{Q}$ is ess. free, by Suzuki's theorem (2018), up to taking a smaller $\mathbb{P} < \mathbb{Q} < \mathbb{G}$, we have $M = L(\Gamma \curvearrowright G/\mathbb{Q})$.

Thank you for your attention!