

Finite Morse index solutions of Allen-Cahn equation

Kelei Wang

(based on joint work with Changfeng Gui and Juncheng Wei)

Wuhan University, China

wangkelei@whu.edu.cn

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We say u is a critical point if the first variation vanishes: given a family u^t for $t \in (-\varepsilon, \varepsilon)$ with $u^0 = u$,

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The second variation formula

$$\mathcal{Q}(\varphi) := \frac{d^2}{dt^2} \mathcal{F}(u^t, \nabla u^t)|_{t=0}, \quad \varphi := \frac{du^t}{dt}|_{t=0}.$$

Morse index = dim of the negative space for \mathcal{Q} . A solution is stable if its Morse index is 0.

Stable outside a compact set

If u is a critical point defined on the entire space \mathbb{R}^n , its Morse index is defined to be

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Finite Morse index \implies stable outside a compact set, i.e. there exists a compact set K such that, for any $\varphi \in C_0^\infty(\mathbb{R}^n \setminus K)$,

$$Q(\varphi) \geq 0.$$

- Minimal hypersurfaces

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- Toda system: $\Delta f_k = e^{-\sqrt{2}(f_k - f_{k-1})} - e^{-\sqrt{2}(f_{k+1} - f_k)}$

$$\text{Stability: } \sum_k \int_{\mathbb{R}^n} |\nabla\varphi_k|^2 - \sqrt{2}e^{-\sqrt{2}(f_k - f_{k-1})} (\varphi_k - \varphi_{k-1})^2 \geq 0.$$

Minimal hypersurfaces with finite Morse index

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- Minimal surfaces in \mathbb{R}^3 with finite Morse index have finitely many ends ([Fishcer-Colbrie, Gulliver in 1980s](#)).
- Minimal hypersurfaces with finite Morse index in high dimensional Euclidean spaces also have finitely many ends, but only in the topological sense. ([H.-D. Cao-Y. Shen-S. Zhu '97](#), [P. Li-J. Wang '02](#)).

Bernstein problem

- **Graph version:** If $n \leq 7$, any entire solution to the minimal surface equation must be linear:

$$\operatorname{div} \left(\frac{\nabla u}{\sqrt{1 + |\nabla u|^2}} \right) = 0, \quad \text{in } \mathbb{R}^n$$

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- **Stable version:** If $n \leq 7$, any stable minimal hypersurface in \mathbb{R}^n must be a hyperplane. ($n = 3$ by [do Carmo and Peng](#), [Fischer-Colbrie and Schoen](#), [Pogorolev](#) around 1980.)

- **Graph version:** Let $u \in C^2(\mathbb{R}^n)$ be a solution of the Allen-Cahn equation, such that $\frac{\partial u}{\partial x_n} > 0$ in \mathbb{R}^n . Is it true that u is one dimensional if $n \leq 8$?

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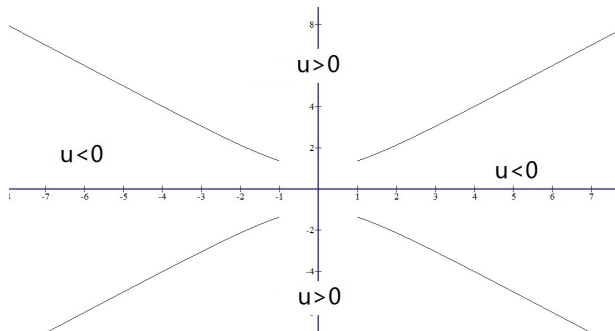
Stable De Giorgi is only known for $n = 2$: quadratic area growth or parabolicity.

Theorem (W.-Wei '17)

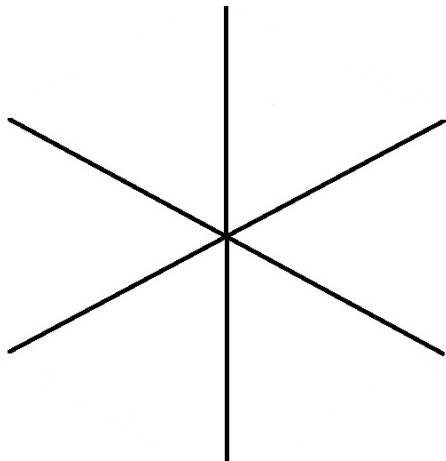
A finite Morse index solution of the Allen-Cahn equation in \mathbb{R}^2 has finitely many ends.

Solutions with finitely many ends

u looks like the $1D$ solution along each end. \Leftarrow Refined asymptotics, exponential convergence (Gui '08, Del Pino-Kowalczyk-Pacard '13).



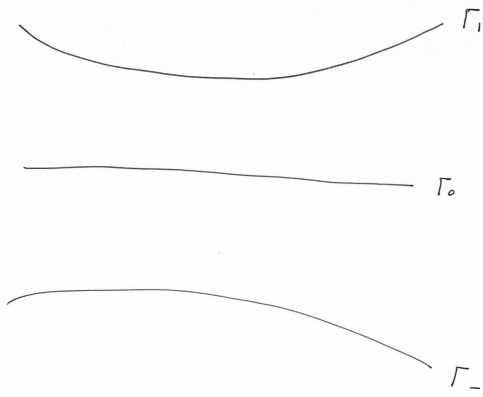
Example: Pizza solutions



Example: Toda solutions

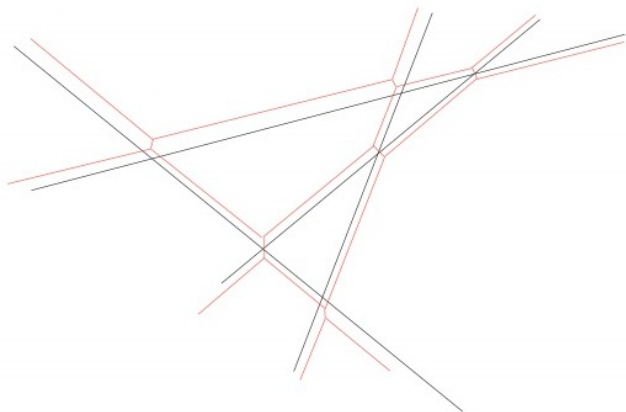
Del Pino-Kowalczyk-Pacard-Wei '10: $\{u = 0\}$ is close to the graph of Toda solutions:

$$f_k''(x) = e^{-\sqrt{2}[f_k(x)-f_{k-1}(x)]} - e^{-\sqrt{2}[f_{k+1}(x)-f_k(x)]}, \quad x \in \mathbb{R}, \quad 1 \leq k \leq Q.$$



Example: solutions with many ends

Kowalczyk-Y. Liu-Pacard-Wei '15:



A finiteness result for nodal domains

Let u_e be the directional derivative in e -direction, which satisfies the linearized equation

$$\Delta u_e = W''(u)u_e.$$

Lemma

If the Morse index is N , the number of connected components of $\{u_e \neq 0\}$ is at most $2N$.

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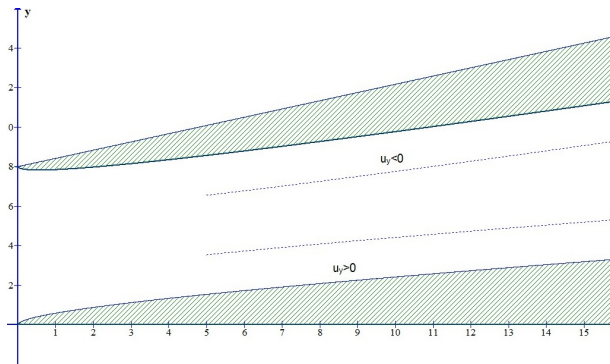
- Liouville theorem for the degenerate equation

$$\operatorname{div} \left(\varphi^2 \nabla \frac{u_e}{\varphi} \right) = 0.$$

- Similar to Courant's nodal domain theorem: entire space? $n = 2 \implies$ log cut-off functions, [Ambrosio-Cabré '03...](#)

Transferring finiteness information

If each end of $\{u = 0\}$ has an **asymptotic direction at infinity**, finiteness of nodal domains of u_e can be transformed into finiteness of ends.



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Let u be a finite Morse index solution of the Allen-Cahn equation in \mathbb{R}^2 .
For all x large,

$$|A(x)|^2 := \frac{|\nabla^2 u(x)|^2 - |\nabla|\nabla u(x)||^2}{|\nabla u(x)|^2} \leq \frac{C}{|x|^2}.$$

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Theorem (Schoen '83)

Given a three dimensional manifold M (with some curvature bounds). Let Σ be a stable immersed minimal surface in a ball $B_R(p) \subset M$ with $\partial\Sigma \subset \partial B_R(p)$. Then

$$\sup_{B_{R/2}(p) \cap \Sigma} |A_\Sigma|^2 \leq \frac{C}{R^2}.$$

Sternberg-Zumbrun inequality

$$\text{Stability} \Leftrightarrow \int |\nabla \varphi|^2 |\nabla u|^2 \geq \int \varphi^2 [|\nabla^2 u|^2 - |\nabla |\nabla u||^2].$$

- $|\nabla u|^2 dx$ corresponds to the area measure of minimal surfaces.

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$$\frac{|\nabla^2 u|^2 - |\nabla |\nabla u||^2}{|\nabla u|^2} = |A|^2 + |\nabla_T \log |\nabla u||^2,$$

where A is the second fundamental form of level sets $\{u = \text{const.}\}$ and ∇_T is the tangential derivatives along these level sets.

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- Simons inequality for this curvature term? Not found yet. Seems to be a common difficulty in semilinear problems.

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- Find y_k satisfying

$$|A(y_k)| \geq |A(x_k)|, \quad |A(y_k)||y_k| \geq k,$$

$$|A(x)| \leq 2|A(y_k)|, \quad \forall x \in B_{k|A(y_k)|^{-1}}(y_k).$$

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- Let $\varepsilon_k := |A(y_k)|$ and define $u_k(x) := u(y_k + \varepsilon_k^{-1}x)$. $|y_k| \rightarrow +\infty$ and $\varepsilon_k \rightarrow 0 \iff$ Locally close to 1D solution, by **stable De Giorgi for $n = 2$** .

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- In $B_k(0)$, u_k is a stable solution of (AC) with parameter ε_k .
- The curvature of $\{u_{\varepsilon_k} = 0\}$ is uniformly bounded, and it equals 1 at the origin.

Theorem (W.-Wei '18)

Let u_ε be a sequence of *stable solutions* to (AC) such that $\{u_\varepsilon = 0\}$ are uniformly $C^{1,\beta}$ for some $\beta \in (0, 1)$. If $n \leq 10$, then $\{u_\varepsilon = 0\}$ are uniformly bounded in $C^{2,\alpha}$ for any $\alpha \in (0, 1)$. Moreover, the mean curvature is of the order $O(\varepsilon^\alpha)$.

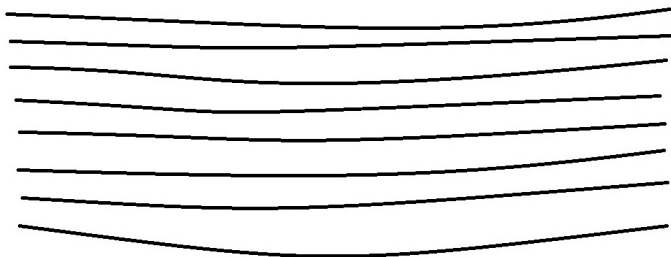
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Chodosh-Mantoulidis '18 has obtained the same result in dimension 3, which was used in their study of min-max minimal surfaces in three manifolds (Multiplicity one conjecture of Marques-Neves, existence of infinitely many minimal surfaces in generic case).

Clustering interfaces

There could be more and more connected components of $\{u_\varepsilon = 0\}$, which can collapse to the same limit. \implies clustering interfaces.



Curvature bound on $\{u_\varepsilon = 0\} \implies \{u_\varepsilon = 0\}$ locally represented by graphs $\cup_k \Gamma_{k,\varepsilon}$, where

$$\Gamma_{k,\varepsilon} = \{x_2 = f_{k,\varepsilon}(x_1)\}, \quad \dots < f_{k-1,\varepsilon} < f_{k,\varepsilon} < f_{k+1,\varepsilon} < \dots$$

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Interaction between different interfaces has the form

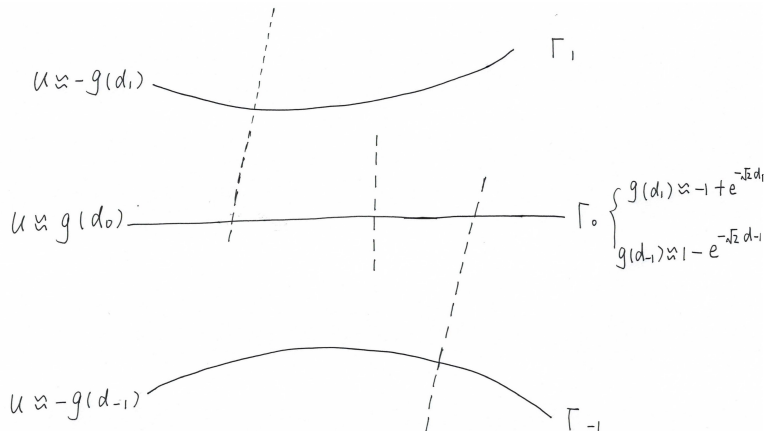
$$\operatorname{div} \left(\frac{\nabla f_{k,\varepsilon}}{\sqrt{1 + |\nabla f_{k,\varepsilon}|^2}} \right) = \frac{A}{\varepsilon} \left[e^{-\frac{\sqrt{2}}{\varepsilon}(f_{k,\varepsilon} - f_{k-1,\varepsilon})} - e^{-\frac{\sqrt{2}}{\varepsilon}(f_{k+1,\varepsilon} - f_{k,\varepsilon})} \right] + h.o.t.$$

Infinite dimensional Lyapunov-Schmidt reduction of Del Pino, Kowalczyk, Wei and their collaborators.

Interaction between transition layers

Approximate solution: near Γ_k ,

$$g_* := g_k + \sum_{l < k} [g_l - (-1)^l] + \sum_{l > k} [g_l + (-1)^l].$$



Obstruction to $C^{2,\alpha}$ estimates of $f_{k,\varepsilon}$

$$\Delta f_{k,\varepsilon} = \frac{A}{\varepsilon} e^{-\frac{\sqrt{2}}{\varepsilon}(f_{k,\varepsilon} - f_{k-1,\varepsilon})} - \frac{A}{\varepsilon} e^{-\frac{\sqrt{2}}{\varepsilon}(f_{k+1,\varepsilon} - f_{k,\varepsilon})}.$$

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On the other hand, if

$$f_{k+1,\varepsilon} - f_{k,\varepsilon} \leq \frac{\sqrt{2}}{2} \varepsilon |\log \varepsilon| + C\varepsilon,$$

define the blow up sequence

$$\tilde{f}_{k,\varepsilon}(x) := \frac{1}{\varepsilon} f_{k,\varepsilon} \left(\varepsilon^{\frac{1}{2}} x \right) - \frac{\sqrt{2}\alpha}{2} |\log \varepsilon|.$$

They converge to an entire solution of the Toda system

$$\Delta f_k = e^{-\sqrt{2}(f_k - f_{k-1})} - e^{-\sqrt{2}(f_{k+1} - f_k)}, \quad \text{in } \mathbb{R}^{n-1}.$$

Reduction of the stability condition

- If u_ε is stable, $(f_{k,\varepsilon})$ satisfies a stability condition:

$$\sum_k \int |\nabla \eta_k|^2 \geq \frac{\sqrt{2}A}{\varepsilon^2} \sum_k \int (\eta_k - \eta_{k-1})^2 e^{-\frac{\sqrt{2}}{\varepsilon}(f_{k,\varepsilon} - f_{k-1,\varepsilon})} - h.o.t..$$

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- Uniform $C^{2,\alpha}$ estimates of clustering interfaces for stable solutions of (AC)
 \Leftarrow Non-existence of entire stable solutions of Toda system.

Liouville theorems for Toda system

$$\Delta f_k = e^{-\sqrt{2}(f_k - f_{k-1})} - e^{-\sqrt{2}(f_{k+1} - f_k)}$$

Toda system if $k = 1, \dots, Q$, Toda lattice if k runs over \mathbb{Z} .

Theorem (W. '19)

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- *If $1 \leq n < 4Q(Q - 1) + 2$, there is no entire stable solution of Q -component Toda system in \mathbb{R}^n .*

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- *If $3 \leq n \leq 9$, there is no solution of Toda lattice in \mathbb{R}^n , which is stable outside a compact set.*

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- *If $3 \leq n \leq 9$, there is no solution of Toda lattice in \mathbb{R}^n , which is stable outside a compact set.*
- *If $3 \leq n < N_Q$, there is no finite Morse index solution of Q -component Toda system in \mathbb{R}^n .*

An ε -regularity theorem

Theorem (W. '19)

For any n , there exists a universal constant η such that, if (f_k) is a *stable* solution to the Toda lattice

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then

$$\int_{B_1} e^{-\sqrt{2}(f_k - f_{k-1})} \leq \eta(n) \quad \implies \quad \sup_{B_{1/2}} e^{-\sqrt{2}(f_k - f_{k-1})} \leq \frac{1}{2}.$$

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Applying this ε -regularity to suitable rescalings of Toda system constructed from (AC), gives a decay estimate on $e^{-\frac{\sqrt{2}}{\varepsilon}(f_{k,\varepsilon} - f_{k-1,\varepsilon})}$ in shrinking balls, leading finally to

$$e^{-\frac{\sqrt{2}}{\varepsilon}(f_{k,\varepsilon} - f_{k-1,\varepsilon})} \lesssim \varepsilon^{1+\alpha}, \quad \text{in the interior.}$$

All results on Toda system are based on corresponding results on the Liouville equation

$$-\Delta u = e^u, \quad \text{in } \mathbb{R}^n.$$

- Farina '07: There does not exist **stable** entire solution if $n \leq 9$;
- Dancer-Farina '09: There does not exist **finite Morse index** entire solution if $3 \leq n \leq 9$;
- W. '12: ε -regularity for **stable** solutions of Liouville equation.

Axially symmetric solutions

Denote points in \mathbb{R}^{n+1} by (x_1, \dots, x_n, z) and let $r := \sqrt{x_1^2 + \dots + x_n^2}$. A function u is axially symmetric if $u(x_1, \dots, x_n, z) = u(r, z)$.

Theorem (Gui-Wei-W. '19)

If $3 \leq n \leq 9$, any axially symmetric solution of (AC) in \mathbb{R}^{n+1} , which is stable outside a cylinder C_R , depends only on z , i.e. it is a 1D solution in the z -direction.

The critical 3D case

Theorem (Gui-Wei-W. '19)

An axially symmetric solution of (AC) in \mathbb{R}^3 with finite Morse index has finitely many ends and quadratic energy growth

$$\int_{B_R(x)} \left[\frac{1}{2} |\nabla u|^2 + W(u) \right] \leq CR^2. \quad (1)$$

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Suppose u is an axially symmetric solution of (AC) in \mathbb{R}^3 .

- If it is stable, it depends only on $z \iff$ the solution has one end.*

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Theorem (Gui-Wei-W. '19)

Suppose u is an axially symmetric solution of (AC) in \mathbb{R}^3 .

- If it is stable, it depends only on $z \iff$ the solution has one end.*
- If its Morse index equals 1, it has exactly two ends.*

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The structure and moduli space of two-end solutions in \mathbb{R}^3 have been studied in detail by [Gui-Liu-Wei '17](#) \implies **Catenoid or Liouville equation.**

Thanks for your attention!